

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
March 2021**

**James Kaffenbarger**



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Corning, NY 14830  
[www.BCKPartners.com](http://www.BCKPartners.com)**

**Firm Contact:  
James Kaffenbarger  
Chief Compliance Officer**

This brochure supplement provides information about James Kaffenbarger that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Kaffenbarger if you did not receive BCK Partners, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about James Kaffenbarger is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 4254971.

## Item 2: Educational Background & Business Experience

**James Allen Kaffenbarger, Jr.**

**Year of Birth:** 1968

### **Educational Background:**

- 1994; Case Western Reserve University, School of Law; J.D
- 1991; Miami University; Bachelor of Arts in Economics

### **Business Background:**

- 05/2015 – Present BCK Partners, Inc.; Chief Compliance Officer & Investment Adviser Representative
- 07/2000 – 05/2015 John G. Ullman & Associates, Inc.; Account Executive

### **Exams, Licenses & Other Professional Designations:**

- 07/2003: CERTIFIED FINANCIAL PLANNER™
- 06/2002: New York State Bar
- 06/1996: Maryland State Bar
- 11/1994: Ohio State Bar

CERTIFIED FINANCIAL PLANNER™, CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services. Candidates must pass a comprehensive certification exam (administered in 10 hours over a 2 day period) and agreeing to be bound by the CFP board's standard of professional conduct. As a prerequisite the candidate must have a bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of James Kaffenbarger.

## Item 4: Other Business Activities

James Kaffenbarger is licensed, non-practicing attorney in the State of New York, Maryland and Ohio. Legal services are not offered through BCK Partners, Inc. Should a client of our firm require legal services, they will be referred to a separate attorney. Mr. Kaffenbarger will not receive any additional compensation for those referrals.

## Item 5: Additional Compensation

James Kaffenbarger does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

Sarah Creath is a principal of BCK Partners, Inc. and as such supervises and monitors James Kaffenbarger's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Creath if you have any questions about James Kaffenbarger's brochure supplement at (607) 654-1182.